

MEMORANDUM

November 29, 2023

TO: File No. SR-FINRA-2022-032 and S7-18-23

FROM: Robert Fisher
Office of Commissioner Mark T. Uyeda

RE: Meeting with Representatives of IEX

On November 29, 2023, Commissioner Mark T. Uyeda and his counsel, Robert Fisher, had a meeting with IEX. The attendees met in-person. The IEX representative in attendance consisted of:

- John Ramsey, Chief Market Policy Officer
- Stan Feldman, COO

Among other matters, the participants discussed IEX's comment letters filed in connection with the Order Approving Proposed Rule Change Relating to Alternative Display Facility New Entrant and also discussed the comment letter from Healthy Markets Association in connection with on Volume-Based Exchange Transaction Pricing for NMS Stocks.