

## MEMORANDUM

**TO:** File Nos. S7-18-22; S7-25-22  
**FROM:** Jennifer Porter, Senior Special Counsel, Division of Investment Management  
**RE:** Meeting with Representatives of the Index Industry Association  
**DATE:** November 4, 2022

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On November 2, 2022, staff from the U.S. Securities and Exchange Commission (the “SEC”) met with representatives of the Index Industry Association (“IIA”). Participants from the SEC’s Division of Investment Management included: William Birdthistle (Director), Sarah ten Siethoff (Deputy Director), Melissa Harke (Assistant Director), Jennifer Porter (Senior Special Counsel), Rachel Loko (Senior Special Counsel), and Elena Stojic (Senior Special Counsel).

Participants from the IIA included:

- Richard Redding, IIA, Chief Executive Office
- Stacy Fuller, K&L Gates
- Michael Lee, K&L Gates
- Daniel Crowley, K&L Gates

The participants discussed the SEC’s request for comment titled *Request for Comment on Certain Information Providers Acting as Investment Advisers* [Release No. IA-6050], and the SEC’s proposal titled *Outsourcing by Investment Advisers* [Release No. IA-6176].