

MEMORANDUM

TO: File nos. S7-18-21 and S7-08-22

FROM: Heather Percival
Policy Counsel, Office of the Chair

RE: Meeting with Representatives of Securities Industry and Financial Markets Association
("SIFMA")

DATE: September 8, 2023

On September 8, 2023, Sai Rao and Heather Percival, Policy Counsels for the Chair, met with the following representatives from SIFMA:

- Steve Schneider, Morgan Stanley
- Rob O'Connor, Morgan Stanley
- Joe Cohn, JP Morgan
- John Hooton, Goldman Sachs
- Eric Colchimiro, Goldman Sachs
- William Wade, Citi
- Omer Oztan, Citi
- Cheryl Lewis, Citi
- David Liebenstein, Bank of America
- Andrea O'Toole, Wells Fargo
- Richard Niemeyer, UBS
- Kevin Campion, Sidley Austin
- Erin Kaufmann, Sidley Austin
- Rob Toomey, SIFMA
- Joe Seidel, SIFMA
- Joe Corcoran, SIFMA

The participants discussed the Commission's proposals on Reporting of Securities Loans and Short Position and Short Activity Reporting by Institutional Investment Managers.