

MEMORANDUM

TO: File Nos. S7-08-22, S7-18-21

FROM: Division of Trading and Markets

RE: Meeting with Representatives of MFA

DATE: September 7, 2022

On September 7, 2022, members of the SEC staff had a teleconference with representatives of the Managed Funds Association (MFA) regarding the SEC's proposed rules regarding short sale disclosure requirements for institutional investment managers and securities lending disclosure. SEC representatives from the Division of Trading and Markets included Josephine Tao, Carol McGee, Patrice Pitts, Timothy Riley, Laura Compton, Quinn Kane, James Curley, Jessica Kloss, Brendan McLeod, and Roland Lindmayer. SEC representatives from the Division of Economic and Risk Analysis included Amy Edwards, Cuyler Strong, and Peter Dixon. The MFA representatives included Matthew Daigler, Jennifer Han, Hunter Landrum, Jeff Wechselblatt, and Aaron Friedman.

The participants discussed MFA's comments on Proposed Rule 13f-2 and Proposed Rule 205, as well as comments related to Proposed Rule 10c-1