

MEMORANDUM

TO: File No. S7-18-21 and S7-08-22
FROM: Division of Trading and Markets
RE: Meeting with Representatives of AIMA
DATE: April 7, 2022

On April 7, 2022, members of the SEC staff had a teleconference with representatives of the Alternative Investment Management Association (AIMA). SEC representatives from the Division of Trading and Markets included Haoxiang Zhu, Josephine J. Tao, Mick Riley, John Guidroz, Joan Collopy, and Brandon Figg. SEC representatives from the Division of Economics and Risk Analysis included Amy Edwards and Peter Dixon. The AIMA representatives included Daniel Austin, Jack Inglis, Martin Schwartz, Jennifer Wood, Jiri Krol, Stephen Berger, and Karl Wachter.

The participants discussed AIMA's comments on Proposed Rule 10c-1, as well as 929X and Proposed Rule 13f-2, among other matters.