

MEMORANDUM

TO: File No. S7-10-20

FROM: Sai Rao
Policy Counsel for Trading and Markets, Office of the Chair

RE: Meeting with Cboe

DATE: March 3, 2022

On March 3, 2022, Sai Rao, Policy Counsel for Trading and Markets, Office of the Chair, met with the following representatives from Cboe:

- Pat Sexton, General Counsel
- Greg Hoogasian, CRO
- Jennifer Lamie, Cboe Regulation and Representative to FINRA CAT's Operating Committee
- Laura Dickman, Cboe Legal
- Jeff Connell, Cboe Regulation

The participants on the call discussed the CAT Data Security Amendment and CAT fee proposals.