

MEMORANDUM

To: File No. S7-18-19

From: Nicholas J. Losurdo
Counsel to Commissioner Elad L. Roisman

Date: December 12, 2019

Re: Meeting with Representatives of Securities Trader Association

On December 12, 2019, Commissioner Elad L. Roisman and his Counsel Nicholas Losurdo met with the following representatives of STA.

- Doug Clark
- Jim Toes

The topics discussed included the Commission's statement for market structure innovation for thinly traded stocks.