

MEMORANDUM

TO: File No. S7-18-11

FROM: Annemarie Ettinger
Office of Credit Ratings

DATE: April 2, 2014

RE: Meeting with Barbara Roper and Micah Hauptman

On April 2, 2014, representatives from the Securities and Exchange Commission (“Commission”) met with Barbara Roper and Micah Hauptman of the Consumer Federation of America to discuss the Commission’s proposed rules and rule amendments in accordance with Title IX, Subtitle C of the Dodd-Frank Wall Street Reform and Consumer Protection Act (Release No. 34-64514). Participating on behalf of the Commission were Thomas J. Butler, Harriet Orol, Annemarie Ettinger and Kevin Vassel from the Office of Credit Ratings and Randall Roy and Christian Sabella from the Division of Trading and Markets.