

MEMORANDUM

TO: File No. S7-18-11

FROM: Rachel Yura
Division of Trading and Markets

DATE: November 12, 2013

RE: Meeting with William J. Harrington

On November 12, 2013, representatives from the Securities and Exchange Commission (“Commission”) met with William J. Harrington to discuss the Commission’s proposed rules and rule amendments in accordance with Title IX, Subtitle C of the Dodd-Rank Wall Street Reform and Consumer Protection Act (Release No. 34-64514). Participating on behalf of the Commission were Randall Roy, Mark Attar and Rachel Yura, from the Division of Trading and Markets; and Harriet Orol, from the Office of Credit Ratings.