

MEMORANDUM

TO: Files Nos. S7-03-22 and S7-17-22

FROM: Parisa Haghshenas – Counsel to Commissioner Jaime Lizárraga – U.S. Securities and Exchange Commission

RE: Meeting with Structured Finance

On February 1, 2023, Commissioner Jaime Lizárraga, Parisa Haghshenas, Diem-Mi Lu, and Brandon Hill met with the following representatives from the Structured Finance Association:

- Allison Binns, Angelo Gordon, Head of ESG & Sustainable Investing Strategy
- Ron Sion, Blackrock
- Mia Koo, Brighthouse Financial
- Francisco Paez, MetLife Investment Management
- Jon Boswell, Nationwide
- Aashh Parekh, Nuveen
- John Di Paolo, PGIM Fixed Income
- Bryan Tsu, PIMCO
- Elizabeth Crawford, TCW
- Kyra Fecteau, Wellington Management
- Colin Dugas, Voya
- Michael Bright, Structured Finance Association
- Kristi Leo, Structured Finance Association

Among the topics discussed was the Commission's proposed rules on Private Fund Advisers; Documentation of Registered Investment Adviser Compliance Reviews and Enhanced Disclosures by Certain Investment Advisers and Investment Companies about Environmental, Social, and Governance Investment Practices.