

MEMORANDUM

TO: File No. S7-16-22 and
File No. S7-17-22

FROM: Nathan Schuur, Senior Counsel, Division of Investment Management

RE: Teleconference with representatives from Ceres

DATE: December 27, 2022

On November 30, 2022, staff from the U.S. Securities and Exchange Commission (the “SEC”) held a videoconference with representatives of Ceres.

Participants included SEC staff from the Office of the Chair: Mika Morse (Climate Counsel); the Division of Investment Management: Brian Johnson (Assistant Director), Michael Spratt (Assistant Director), Sara Cortes (Senior Special Counsel), Amanda Wagner (Senior Special Counsel), Zeena Abdul-Rahman (Branch Chief), Brad Gude (Branch Chief), Chris Staley (Branch Chief), Pamela Ellis (Senior Counsel), Robert Holowka (Senior Counsel), Amy Miller (Senior Counsel), Nathan Schuur (Senior Counsel), Samuel Thomas (Senior Counsel), and Matthew Williams (Senior Counsel); and the Division of Economic and Risk Analysis: Yoon-Ho (Alex) Lee (Visiting Scholar).

Participants from Ceres included:

- Ava Gulino,
- Becca Johnson,
- Eric Pitt, and
- Steven Rothstein.

The participants discussed, among other things, the Commission’s proposals titled *Enhanced Disclosures by Certain Investment Advisers and Investment Companies about Environmental, Social, and Governance Investment Practices* [Release No. 33-11068; 34-94985; IA-6034; IC-34594] and *Investment Company Names* [Release No. 33-11067; 34-94981, IC-34593].