

MEMORANDUM

TO: File No. S7-17-22
File No. S7-16-22

FROM: Pamela Ellis, Senior Counsel, Division of Investment Management

RE: Teleconference with representatives from The Forum for Sustainable and Responsible Investment

DATE: December 20, 2022

On December 16, 2022, staff for the U.S. Securities and Exchange Commission (the “SEC”) held a videoconference with representatives from The Forum for Sustainable and Responsible Investment (“US SIF”) and several of its members, including Boston Trust Walden, Impax Asset Management, Morningstar, Natural Investments, and Trillium Asset Management.

Participants included SEC staff from the Office of the Chair: Mika Morse (Climate Counsel); the Division of Investment Management: William Birdthistle (Director), Brian Johnson (Assistant Director), Frank Buda (Senior Special Counsel), Sara Cortes (Senior Special Counsel), Amanda Wagner (Senior Special Counsel), Zeena Abdul-Rahman (Branch Chief), Brad Gude (Branch Chief), Chris Staley (Branch Chief), Pamela Ellis (Senior Counsel), Alexis Palascak (Senior Counsel), Samuel Thomas (Senior Counsel), and Matthew Williams (Senior Counsel); and the Division of Economic and Risk Analysis: Justin Vitanza (Senior Financial Economist).

Participants from US SIF and its members included:
Kristen Lang, Boston Trust Walden;
Julie Gorte, Impax Asset Management;
Aron Szapiro, Morningstar;
Michael Kramer, Natural Investments; and
Jonas Kron, Trillium Asset Management;
Byran McGannon, US SIF.

The participants discussed, among other things, the Commission’s proposals titled *Enhanced Disclosures by Certain Investment Advisers and Investment Companies about Environmental, Social, and Governance Investment Practices*, Investment Company Act Release No. 34594 (May 25, 2022), 87 FR 36654 (Jun. 17, 2022) and *Investment Company Names*, Investment Company Act Release No. 34593 (May 25, 2022), 87 FR 36594 (Jun. 17, 2022).