

MEMORANDUM

TO: File Nos. S7-16-22; S7-17-22

FROM: Amy Miller, Senior Counsel, Division of Investment Management

RE: Teleconference with representatives of UN Principles for Responsible Investment

DATE: November 18, 2022

On November 18, 2022, staff from the U.S. Securities and Exchange Commission (the “SEC”) held a videoconference with representatives of UN Principles for Responsible Investment.

Participants included SEC staff from the Office of the Chair: Mika Morse (Climate Counsel); the Division of Investment Management: Sarah ten Siethoff (Deputy Director), Brian Johnson (Assistant Director), Amanda Wagner (Senior Special Counsel), Chris Staley (Branch Chief), Amy Miller (Senior Counsel), and Matt Williams (Senior Counsel); and the Division of Economic and Risk Analysis: Yoon-Ho (Alex) Lee (Visiting Scholar).

Representatives from UN Principles for Responsible Investment included Gregory Hershman and Karen Kerschke.

The participants discussed the Commission’s proposals titled *Enhanced Disclosures by Certain Investment Advisers and Investment Companies about Environmental, Social, and Governance Investment Practices* [Release Nos. 33-11068; 34-94985; IA-6034; IC-34594], and *Investment Company Names* [Release Nos. 33-11067; 34-94981; IC-34593].