

MEMORANDUM

January 31, 2023

TO: File Nos. S7-16-22 and S7-17-22

FROM: Steven B. Levine
Office of Commissioner Mark T. Uyeda

RE: Meeting with Representatives of Investment Company Institute

On January 9, 2023, Commissioner Mark T. Uyeda and his counsels, Holly Hunter-Ceci and Steven B. Levine, had a meeting with the Investment Company Institute (“ICI”). Commissioner Uyeda and the attendees met virtually. The ICI’s representatives in attendance consisted of:

- Susan Olson, General Counsel, ICI
- Dorothy Donohue, Deputy General Counsel, ICI
- Erica Evans, Assistant General Counsel, ICI
- Kevin Ercoline, Assistant General Counsel, ICI
- Jessica Holly, Director, BlackRock
- Clara Kang, Associate Counsel, Capital Group
- Ramon Herrera, Senior Vice President and Deputy General Counsel, Fidelity
- Christopher Cafiero, Executive Director, J.P. Morgan
- Mara Shreck, Managing Director, J.P. Morgan
- Jay Herold, Vice President and Managing Counsel, MFS
- Brian Langenfeld, Vice President and Managing Counsel, MFS
- Vishal Hindocha, Head of ESG Strategy and Investment Solutions Director, MFS International
- Corey Rose, Partner, Dechert LLP
- Mirela Izmirlie, Vice President, Associate General Counsel, Fidelity

Among other matters, the participants discussed ICI’s comment letters filed in connection with the proposals related to investment company names and environmental, social, and governance disclosures for investment advisers and investment companies.