

MEMORANDUM

To: Comment File (S7-17-22) - Environmental, Social, and Governance Disclosures for Investment Advisers and Investment Companies; Comment File (S7-16-22) - Investment Company Names

From: The Office of the Chair, U.S. Securities and Exchange Commission

Date: October 23, 2022

Re: Meeting with the Investment Company Institute (ICI)

On October 20, staff from the Securities and Exchange Commission met with members of ICI about the SEC's proposals to standardize ESG disclosures by certain investment funds and advisers. During the meeting, participants asked questions about the proposal and common themes in the comment file.

Attendees:

Sara Donaldson	Voya Investments, LLC
Bob Grohowski	T. Rowe Price Associates, Inc.
Tory Sims	Dodge & Cox
Bill Roberts	The Vanguard Group
Carey Burke	Hartford Fund
John Oxtoby	Ariel Investments, LLC
Eunie Popp	New York Life Investment Management LLC
Sarah Coutu	John Hancock Investment Management
Stephanie Hui	Dimensional Fund Advisors LP
Michelle Lombardo-Hartford Funds	The Hartford Funds
Elliot Cohen	Saturna Capital Corporation
Glen K Yelton	Invesco Ltd.
Karen Wong	State Street Global Advisors
Christopher Ramos	The Vanguard Group
Jay Herold	MFS Investment Management
Emanuela Scura	Lord, Abbett & Co. LLC
Ryan Sitarz	Voya Investment Management
Antonia Perry	Manulife Asset Management (US) LLC
Anne Kruczek	Federated Hermes
Jake Walko	Thornburg Investment Management, Inc.
Renee Tierney	PIMCO Investments, LLC
Eugenia Unanyants-Jackson	PGIM Investments
Iain Richards	Columbia Threadneedle Investments

Emily Chew	Morgan Stanley Investment Management Inc.
John Emling	ICI
Kevin Ercoline	ICI
Annette Capretta	ICI
Dorothy Donohue	ICI
Shannon Salinas	ICI
Kathleen Mellody	ICI
Cynthia Pullom	ICI
Sarah Bessin	ICI
Judith Steenstra	ICI
Nicole Baker	ICI
jamesduvall	ICI
Sean Collins	ICI
Matthew Mohlenkamp	ICI Global

SEC staff in attendance:

Mika Morse, Climate and ESG Policy Counsel, Office of the Chair