

MEMORANDUM

TO: File Nos. S7-17-22 and S7-16-22

FROM: Elena S. Stojic, Senior Special Counsel, Division of Investment Management

RE: Virtual meeting with Representatives from Federated Hermes

DATE: October 20, 2022

On October 20, 2022, staff of the SEC's Division of Investment Management ("IM") and Division of Economics and Risk Analysis ("DERA") held a virtual meeting via Webex with representatives from Federated Hermes. Participants included: William Birdthistle (Director, IM), Sarah ten Siethoff (Deputy Director, Associate Director, IM), Brian McLaughlin Johnson (Assistant Director, IM), Sara Cortes (Senior Special Counsel, IM), Michael Spratt (Assistant Director, IM), Elena Stojic (Senior Special Counsel, IM), Zeena Abdul-Rahman (Branch Chief, IM), Christopher Staley (Branch Chief, IM), Pamela Ellis (Senior Counsel, IM), Robert Holowka (Senior Counsel, IM), Michael Kosoff (Senior Counsel, IM), Nathan Schuur (Senior Counsel, IM), Matthew Williams (Senior Counsel, IM), Alexis Palascak (Senior Counsel, IM), Amy Miller (Senior Counsel, IM), Asaf Barouk (Senior Counsel, IM), Cindy Alexander (Senior Financial Economist, DERA), Ross Askanazi (Financial Economist, DERA), Yoon-Ho Lee (Financial Economist, DERA)

as well as the following representatives from Federated Hermes:

- Saker Nusseibeh, CEO, International at Federated Hermes
- Rob Sitkoff, a Professor at Harvard University
- Peter Germain, Chief Legal Officer at Federated Hermes
- Kary Moore, Senior Corporate Counsel, Federated Hermes
- Karishma Page, Partner, K&L Gates

The participants discussed, among other things, the SEC's proposed rules "Enhanced Disclosures by Certain Investment Advisers and Investment Companies about Environmental, Social, and Governance Investment Practices," set forth in Investment Company Act Release No. 34594 (May 25, 2022) and "Investment Company Names" set forth in Investment Company Act Release No. 34593 (May 25, 2022).

Attachments

Attachment 1: [Attachment 1](#)

Attachment 2: [Attachment 2](#)

Attachment 3: [Attachment 3](#)

Attachment 4: [Attachment 4](#)