

## MEMORANDUM

TO: File Nos. S7-16-22; S7-17-22

FROM: Amy Miller, Senior Counsel, Division of Investment Management

RE: Teleconference with representatives of Americans for Financial Reform Education Fund, Center for American Progress, Ceres, Sierra Club, Public Citizen, Environmental Defense Fund, US Impact Investing Alliance, and UN Principles for Responsible Investment

DATE: October 18, 2022

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On October 18, 2022, staff from the U.S. Securities and Exchange Commission (the “SEC”) held a videoconference with representatives of Americans for Financial Reform Education Fund, Center for American Progress, Ceres, Sierra Club, Public Citizen, Environmental Defense Fund, US Impact Investing Alliance, and UN Principles for Responsible Investment.

Participants included SEC staff from the Office of the Chair: Mika Morse (Climate Counsel); the Division of Investment Management: Sarah ten Siethoff (Deputy Director), Brian Johnson (Assistant Director), Michael Spratt (Assistant Director), Amanda Wagner (Senior Special Counsel), Sara Cortes (Senior Special Counsel), Michael Kosoff (Senior Special Counsel); Elena Stojic (Senior Special Counsel); Zeena Abdul-Rahman (Branch Chief), Chris Staley (Branch Chief), Mykaila DeLesDernier (Senior Counsel), Pamela Ellis (Senior Counsel), Amy Miller (Senior Counsel), Nate Schuur (Senior Counsel), Alexis Palascak (Senior Counsel), and Matt Williams (Senior Counsel); and the Division of Economic and Risk Analysis: Yoon-Ho (Alex) Lee (Visiting Scholar).

Representatives from the above-listed organizations included the following:

- Natalia Renta and Jessica Garcia, Americans for Financial Reform Education Fund;
- Alexandra Thornton and Lilith Fellowes-Granda, Center for American Progress;
- John Kostyack, Ceres and Sierra Club;
- Eric Pitt, Ceres;
- Rachel Curley and Robert Stewart, Public Citizen;
- Stephanie Jones, Environmental Defense Fund;
- John Cochrane and Claire Mattingly, US Impact Investing Alliance; and
- Gregory Hershman and Karen Kerschke, UN Principles for Responsible Investment.

The participants discussed the Commission’s proposals titled *Enhanced Disclosures by Certain Investment Advisers and Investment Companies about Environmental, Social, and Governance Investment Practices* [Release Nos. 33-11068; 34-94985; IA-6034; IC-34594], and *Investment Company Names* [Release Nos. 33-11067; 34-94981; IC-34593].