

MEMORANDUM

TO: File Nos. S7-17-22; S7-16-22

FROM: Samuel Thomas, Senior Counsel, Division of Investment Management

RE: Teleconference with representatives from Amalgamated Bank, Americans for Financial Reform, Center for American Progress, Ceres, Partnership for Carbon Accounting Financials, Public Citizen, and Sierra Club

DATE: August 15, 2022

On August 10, 2022, staff from the U.S. Securities and Exchange Commission (the “SEC”) held a videoconference with representatives of Amalgamated Bank, Americans for Financial Reform, Center for American Progress, Ceres, Partnership for Carbon Accounting Financials (“PCAF”), Public Citizen, and Sierra Club.

Participants included SEC staff from the Office of the Chair: Mika Morse (Climate Counsel), as well as SEC staff from the Division of Investment Management: William Birdthistle (Director), Sarah ten Siethoff (Deputy Director), Michael Spratt (Assistant Director), Amanda Wagner (Senior Special Counsel), Sara Cortes (Senior Special Counsel), Brad Gude (Branch Chief), Chris Staley (Branch Chief), Mykaila DeLesDernier (Senior Counsel), Pamela Ellis (Senior Counsel), Amy Miller (Senior Counsel), Samuel Thomas (Senior Counsel), Robert Holowka (Senior Counsel), and Frank Sensenbrenner (Financial Analyst), as well as SEC staff from the Division of Economic and Risk Analysis: Jessica Wachter (Chief Economist and Director), Ross Askanazi (Financial Economist), Daniel Deli (Financial Economist), Parhaum Hamidi (Special Counsel), and Yoon-Ho Alex Lee (Visiting Scholar) as well as the participants from the above listed organizations found below:

- Ivan Frishberg, Amalgamated Bank and PCAF
- Cynthia Dalagelis, Amalgamated Bank and PCAF
- Natalia Renta, Americans for Financial Reform
- Alex Martin, Americans for Financial Reform
- Alexandra Thornton, Center for American Progress
- John Kostyack, Ceres and Sierra Club
- Eric Pitt, Ceres
- Rachel Curley, Public Citizen
- Jessye Waxman, Sierra Club

The participants discussed, among other things, the Commission’s proposals titled *Enhanced Disclosures by Certain Investment Advisers and Investment Companies about Environmental, Social, and Governance Investment Practices* [Release No. 33-11068; 34-94985; IA-6034; IC-34594] and *Investment Company Names* [Release No. 33-11067; 34-94981; IC-34593].