

MEMORANDUM

To: File No. S7-16-18

From: Andrew Feller
Counsel to Commissioner Allison Herren Lee

Date: December 9, 2019

Re: Meeting with Shanna Devine and Bart Naylor of Public Citizen

On December 2, 2019, Eric Juzenas, Andrew Feller, and Katherine Kelly, all counsel to Commissioner Allison Herren Lee, met telephonically with the individuals identified above. Among the topics discussed was the SEC's proposed rule amendments relating to the whistleblower program.