Re: Comments on the proposed Amendments to the Commission’s Whistleblower Program Rules.

In addition to the comments I submitted on August 20, 2018, I would like to add the below letter dated July 31, 2017 to my comments. There are a number of compelling points to be made with respect to that letter. I would also like to inform the Commission of what I have previously stated. At this juncture, considering the depth of what has occurred, I do not believe it is humanly possible for the process to ever be fair; too much wrong, bias and prejudice has engulfed this process from the early stages.

Therefore, I would like to request Federal Court and Congressional intervention. The serious nature of what has occurred here demands it.

Respectfully Submitted.
July 31, 2017

Jane Norberg, Chief
Office of the Whistleblower
Phone: (202) 551-4790
Fax: (703) 813-9322

Mr. Edward J. Sanders
346 Fern Cliff Ave.
Temple Terrace, FL 33617

Re: SEC v. First Mortgage Corporation, Inc., et al.
Notice of Covered Action 2016-101

Dear Mr. Sanders:

I have received your letter dated July 14, 2017 in which you inquired about the status of your pending award claim and requested to speak directly with the Claims Review Staff about this matter. Please be assured that all of the materials you submitted in connection with your award claim will be reviewed by the Claims Review Staff (“CRS”); however, the whistleblower rules do not provide for a claimant to meet with the CRS. Further, as I explained in my previous letter of March 13, 2017, we cannot provide you with an estimate of when the CRS will make its preliminary determination on your award claim. Again, when the CRS does issue its preliminary determination, we will forward it to you promptly along with a letter from our office explaining the next steps in the review process.

We appreciate your continued patience. Please do not hesitate to contact us if you have additional questions.

Sincerely,

[Signature]

Jane Norberg

Referred: TSR1*20752**092*

Re: Comments on the proposed Amendments to the Commission’s Whistleblower Program Rules.

I am respectfully submitting the following comments to the Commission: It is my belief the proposed changes to the Dodd Frank Whistleblower Program the SEC has undertaken is, at minimum, associated in part with complaints I have made about the program and the way it is being administered by the SEC. What is published by the SEC to entice whistleblower participation is far from the unpleasant experience I have had as a SEC whistleblower. My experience is that the SEC goes to great length to allure the efforts and sacrifice a whistleblower.
must make; and are quick to use a whistleblower’s information, assistance and support. But they are also quick to unjustly mistreat and misuse a whistleblower, paving way for defamation, injustice and an outcome as flawed as the process itself.

The fraud I reported was not only occurring in the company I worked for, but also inclusive of a Government Association who’s inadequate leadership left a mass of investors unguarded. Their failure to adequately establish and innovate sufficient, protective internal controls, particularly after the 2008 financial crises, exposed investors to unnecessary losses and risk not competently disclosed.

The additional complaints I have put forth are based upon the nefarious way I have been treated as a SEC whistleblower; it has been a terribly unfair process. It has also been more than 3 1/2-years since submitting my original SEC whistleblower complaint, 2-years after submitting my whistleblower reward application, and I am still being subjected to a deplorable degree of unfairness and abuse. That being said, there still remains a very real and healthy motive for people to cause harm to me and my family, and create chaotic disruption in our lives. All this in spite of being told prior to submitting my application that the Commission, as they understood it, wished to acknowledge my extraordinary effort, sacrifice and the quality of information I provided as the only whistleblower in the matter. To that end, the last letter I received almost 8-months ago stated; “the Commission endeavors to complete all of its whistleblower award claims as expeditiously as possible”

The gravity of what has occurred to me, and what I have been subjected to as a SEC whistleblower, is so malignant it should never be aloud to happen again. It should never happen to a citizen, much less a government whistleblower. My complaints have not been buffered on any front, and continue to be addressed not only to the SEC, but the DOJ, FBI & OIG as well. Moreover, it is my unwavering belief that other material information has been obtained from me using less than lawful means and measures. I also believe that in all likelihood a good portion of the tenure staff departures at the SEC over the past 2-years are in someway a product of my complaints, and also a product of being left as a sacrificial lamb in a highly compromised process. In this regard, the most recent departure was the SEC Regional Director of the Regional Office responsible for administering the underlying process.

To conclude, I want to parallel a recent June 2018, U.S. Supreme Court decision as being indicative of my experience as a SEC whistleblower. If the Commission desires follow up on any aspect of my comments herewith, please feel free to contact me directly at any time.

Respectfully Submitted.