

MEMORANDUM

To: Liquidity Risk Management Programs Proposal File

From: Amanda Hollander Wagner
Senior Counsel, Division of Investment Management

Date: January 20, 2016

Re: Meeting with Representatives of BlackRock, Inc.

On January 14, 2016, Andrew J. Donohue (Chief of Staff, U.S. Securities and Exchange Commission (“SEC”)), Jennifer Porter (Counsel to SEC Chair Mary Jo White), David Grim (Director, Division of Investment Management (“IM”)), Jennifer McHugh (Senior Policy Advisor, IM), Diane Blizzard (Associate Director, IM), Sarah ten Siethoff (Assistant Director, IM), Sara Cortes (Senior Special Counsel, IM), Melissa Gainor (Senior Special Counsel, IM), Kathleen Joaquin (Senior Financial Analyst, IM), Thoreau Bartmann (Branch Chief, IM), Naseem Nixon (Senior Counsel, IM), and Amanda Wagner (Senior Counsel, IM) met with the following representatives of BlackRock, Inc.:

- Barbara Novick, Vice Chairman;
- Benjamin Archibald, Managing Director (via teleconference);
- Kathryn Fulton, Managing Director;
- Joanne Medero, Managing Director (via teleconference);
- Jasmin Sethi, Vice President; and
- Alexis Rosenblum, Director, Government Relations.

Among other things, the participants discussed the Commission’s proposal on liquidity risk management programs and swing pricing.