

MEMORANDUM

To: Liquidity Risk Management Programs Proposal File

From: Amanda Hollander Wagner
Senior Counsel, Division of Investment Management

Date: November 3, 2015

Re: Meeting with Representatives of Interactive Data Corporation

On November 3, 2015, Jennifer McHugh (Senior Policy Advisor, Division of Investment Management (“IM”)), Sarah ten Siethoff (Assistant Director, IM), Ryan Moore (Assistant Chief Accountant, IM), Sarah Buescher (Branch Chief, IM), Naseem Nixon (Senior Counsel, IM), and Amanda Wagner (Senior Counsel, IM) met with Steve Daffron (CEO, Interactive Data Corporation (“IDC”)), Liz Duggan (Managing Director, IDC), Rob Haddad (Vice President, Evaluated Services, IDC), Mitchell Feuer (Rich Feuer Anderson), and Peter Rich (Rich Feuer Anderson). Among other things, the parties discussed the Commission’s proposal on liquidity risk management programs and swing pricing.