

MEMORANDUM

TO: File

FROM: Ann McKeehan

RE: Meeting with representatives from and members of the Managed Funds Association

DATE: March 9, 2011

On March 9, 2011, Robert Cook, Jim Burns, Brian Bussey, Heather Seidel, Tom Eady, Jack Habert and Ann McKeehan of the Division of Trading and Markets met with representatives from and members of the Managed Funds Association (“MFA”) to discuss implementation of the Dodd-Frank Act. The MFA representatives and members in attendance were the following:

Paul Friedman (Blue Mountain Capital Management)
Joanne Medero (BlackRock, Inc.)
Jennifer Han (MFA)
Carlotta King (MFA)
Stuart Kaswell (MFA)
Scott Beardsley (Blue Mountain Capital Management)
Randall Costa (Citadel LLC)
Eric Jacobs (King Street Capital)
Ted MacDonald (D.E. Shaw)
Scott Parsons (Delta Strategy Group)
Lara Driscoe (Delta Strategy Group)

[No agenda available for this meeting.]