

MEMORANDUM

TO: File on Title VII of Dodd-Frank Wall Street Reform and Consumer Protection Act (“Dodd-Frank Act”)

FROM: Christian L. Broadbent
Counsel to Commissioner Elisse B. Walter

DATE: October 6, 2010

RE: Meeting with Representatives of PIMCO

On October 6, 2010, Commissioner Walter, along with Christian Broadbent of Commissioner Walter’s office, met with Brent Harris and Libby Cantrill of PIMCO, Peter Lefkin of Allianz, and Daniel Crowley of K&L Gates, and discussed generally the restrictions on commodities futures in the Dodd-Frank Act.

An agenda is attached to this Memorandum.

Agenda for 10.6.10 Meeting with Commissioner Walter

PIMCO manages the largest bond fund in the world, as well as the largest commodities fund. As Chairman of PIMCO Funds, Mr. Harris would like to discuss proposals which could have a significant, adverse impact on investors.