

MEMORANDUM

To: Public Comment File on Proposed ETF Rule (File No. S7-15-18)

From: Elizabeth Blase, Counsel to Commissioner Elad L. Roisman

Re: Meeting with Representatives of Vanguard

On December 19, 2018, Commissioner Elad L. Roisman and Elizabeth Blase met with the following representatives from Vanguard:

- Rodney Comegys, Principal, Global Head of Equity Investment Group;
- Josh Barrickman, Principal, Head of Fixed Income Indexing, Americas;
- Dan Goldman, Assistant PM, Fixed Income Index;
- Chuch Thomas, ETF Capital Markets;
- Brian Murphy, Senior Counsel; and
- Jillien Flores, Government Relations.

The participants discussed a number of issues, including the comments Vanguard submitted on the Commission's proposed rules governing exchange traded funds.