

MEMORANDUM

TO: File No. S7-14-22

FROM: Michael E. Coe
Division of Trading and Markets
U.S. Securities and Exchange Commission

DATE: April 4, 2023

SUBJECT: Meeting with Representatives from the Wholesale Markets Broker's Association
Americas ("WMBAA")

On April 4, 2023, staff from the Division of Trading and Markets, Office of Market Supervision held a videoconference with representatives from the WMBAA. The SEC participants were Eric Juzenas and Michael Coe. The representatives from the WMBAA were Brian Donnelly of TP ICAP, Jeff Mehan of Tradition, Mike Sulfaro and Bill Shields of BGC, and Ayana Dow and Matt Kulkin of WilmerHale.

The discussion concerned, among other things, the SEC proposal for Rules Relating to Security-Based Swap Execution and Registration and Regulation of Security-Based Swap Execution Facilities [Release No. 34-94615].