

To: File No.: S7-14-22
From: Office of Public Engagement
Date: 3/13/23
Re: Meeting with Citadel

On March 13, 2023, Chair Gensler and SEC staff met with representatives from Citadel to discuss matters related to the Security-Based Swap Execution and Registration and Regulation of Security-Based Swap Execution Facilities proposal.

Members of the SEC included:

- Chair Gary Gensler
- Heather Slavkin Corzo, Director of Policy

Representatives from Citadel included:

- Stephen Berger, Global Head of Government & Regulatory Policy
- Jonah Platt, U.S. Head of Government & Regulatory Policy