

MEMORANDUM

TO: File No. S7-14-22

FROM: Leah Mesfin
Office of Market Supervision, Division of Trading and Markets
U.S. Securities and Exchange Commission

DATE: March 2, 2023

SUBJECT: Meeting with Representatives from the Securities Industry and Financial Markets Association's Asset Management Group ("SIFMA AMG"), BlackRock, Goldman Sachs, Russell Investments, Voya Investments, and Wilmer Hale

On March 2, 2023, representatives from the U.S. Securities and Exchange Commission ("SEC") held a videoconference with representatives from SIFMA AMG, BlackRock, Goldman Sachs, Russell Investments, Voya Investments, and Wilmer Hale. The SEC representatives were Heather Percival, Eric Juzenas, Michael Coe, Geoffrey Pemble, Leah Mesfin, and Michou Nguyen. The representatives from SIFMA AMG were Lindsey Keljo, William Thum, and Joseph Seidel. The representative from BlackRock was Waqass Fahmawi. The representative from Goldman Sachs was Prashina Gagoomal. The representatives from Russell Investments were Lisa Cavallari and Jing Cao. The representative from Voya Investments was Eric Banta. The representative from Wilmer Hale was Matthew Kulkin.

The discussion concerned, among other things, the SEC proposal for Rules relating to Security-Based Execution and Registration and Regulation of Security-Based Swap Execution Facilities [Release No. 34-94615].