

MEMORANDUM

TO: File No. S7-14-22

FROM: Michael E. Coe
Division of Trading and Markets

DATE: August 29, 2022

SUBJECT: Meeting with Nelson Mullins Riley & Scarborough LLP

On August 25, 2022, Commission staff met via teleconference with Richard Levin, Kevin Tran, and Megan Kilissanly from Nelson Mullins regarding proposed requirements for registration and regulation of security-based swap execution facilities. Commission staff participating in the meeting included Michael Coe, Michael Gaw, and Mark Sater.