

## MEMORANDUM

**TO:** File Number S7-14-22

**FROM:** Laura Compton  
Counsel to the Director, Division of Trading and Markets  
U.S. Securities and Exchange Commission

**DATE:** August 1, 2022

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On August 1, 2022, Commission staff met via videoconference with staff and members of the Managed Funds Association (MFA) regarding proposed requirements for registration and regulation of security-based swap execution facilities. Commission staff participating in the meeting included Michael Coe, Michael Gaw, Eric Juzenas, Justin Pica, Leah Mesfin, Juan Echeverri, YC Loon, Marilyn Parker and Laura Compton. Staff and members of MFA participating in the meeting included Joseph Schwartz (MFA), Matthew Lillvis (Millenium Management), Jonah Platt (Citadel) and Aaron Friedman (Citadel).