

MEMORANDUM

TO: File No. S7-14-22

FROM: Michael E. Coe
Division of Trading and Markets
U.S. Securities and Exchange Commission

DATE: May 31, 2023

SUBJECT: Meeting with Representatives from Citadel

On May 31, 2023, staff from the Division of Economic and Risk Analysis (DERA) and the Division of Trading and Markets (TM) held a videoconference with representatives from Citadel. The SEC participants were Jessica Wachter, Oliver Richard, Juan Echeverri, Y.C. Loon, Joseph Otchin, and Charles Woodworth from DERA, and Andrea Orr, Amy Butler, Tammy Chang, Patrick Norton, Sharon Park, and Michael Coe from TM. The representatives from Citadel were Stephen Berger, Aaron Friedman, and Jonah Platt.

The discussion concerned, among other things, the Commission's proposal for Rules Relating to Security-Based Swap Execution and Registration and Regulation of Security-Based Swap Execution Facilities [Release No. 34-94615].