

MEMORANDUM

To: File No. S7-14-19

From: Theresa Hajost
Division of Trading and Markets

Re: Teleconference with Blue Ridge Law & Policy

Date: June 12, 2020

On June 12, 2020, Josephine Tao, (U.S. Securities and Exchange Commission (“SEC”), Division of Trading and Markets (“TM”)), Elizabeth Sandoe, Joan Collopy, John Guidroz, Laura Gold, Patrice Pitts, James Curley and Theresa Hajost participated in a teleconference with Ben Brown of Blue Ridge Law & Policy on behalf of his client Jim Mitchell of Mitchell Partners, LP.

The call participants discussed the SEC’s proposed amendments to Exchange Act Rule 15c2-11.