

MEMORANDUM

To: File No. S7-14-19

From: Ben Bernstein

Re: Meeting with Representatives of OTC Markets Group

On June 8, 2020, Christina Thomas and Ben Bernstein, Counsels to Commissioner Elad Roisman, met with the following representatives of OTC Markets Group:

- Cromwell Coulson
- Dan Zinn
- Cass Sanford
- Liz Heese
- Gareth Colglazier

Among the topics discussed was the Commission's proposal to amend Exchange Act Rule 15c2-11 (File No. S7-14-19).