

MEMORANDUM

To: File No. S7-14-19
From: Kay Smith, Senior Advisor to Chairman Jay Clayton
Date: May 11, 2020
Re: Meeting with OTC Markets Group, Inc.

On April 22, 2020, Chairman Jay Clayton, Kay Smith, Sean Memon, Bryan Wood, and Alan Cohen participated in a meeting with Cromwell Coulson, Dan Zinn, and Cass Sanford of OTC Markets Group, Inc. File No. S7-14-19 was discussed, among other things.