

## MEMORANDUM

**To:** File No. S7-14-19

**From:** Elizabeth Blase, Christina Thomas, Ben Bernstein (Counsels to Commissioner Roisman)

**Re:** Meeting with Representatives of OTC Markets Group

---

On April 15, 2020, Commissioner Roisman, Elizabeth Blase, Christina Thomas, and Ben Bernstein met with the following representatives of OTC Markets Group:

- Cromwell Coulson
- Dan Zinn
- Cass Sanford

Among the topics discussed was the Commission's proposal to amend Exchange Act Rule 15c2-11 (File No. S7-14-19).