

MEMORANDUM

To: File No. S7-14-19
From: Kay Smith, Senior Advisor to Chairman Jay Clayton
Date: November 26, 2019
Re: Meeting with OTC Markets Group, Inc.

On November 15, 2019, Kay Smith, Sebastian Gomez Abero, and Sean Memon participated in a meeting with Dan Zinn and Cromwell Coulson of OTC Markets Group, Inc. File No. s7-14-19 was discussed, among other things.