

MEMORANDUM

To: File No. S7-14-19

From: John Guidroz

Division of Trading and Markets

Date: November 6, 2019

Re: Call with Monroe Financial Partners

On November 6, 2019, John Guidroz (U.S. Securities and Exchange Commission (“SEC”), Division of Trading and Markets (“TM”)) and Laura Gold (TM) participated on a call with the following representatives of Monroe Financial Partners:

- Dan Kanter
- Craig Carlino

The participants discussed the SEC’s proposal relating to Rule 15c2-11.