

MEMORANDUM

To: File No. S7-14-16

From: Jill S. Henderson
Counsel to Commissioner Kara Stein
U.S. Securities and Exchange Commission

Date: November 23, 2016

Re: Meeting with Representatives of the Security Traders Association

On November 22, 2016, Commissioner Kara M. Stein and Jill S. Henderson met with Jim Toes and John Russell of the Security Traders Association. Topics discussed included the proposal on Disclosure of Order Handling Information.