

MEMORANDUM

TO: File No. S7-14-16

FROM: Andrew Sherman
Attorney-Adviser
Office of Market Supervision, Division of Trading and Markets
U.S. Securities and Exchange Commission

DATE: May 31, 2018

SUBJECT: Meeting with Representatives of Charles Schwab & Co., Inc.

On May 31, 2018, Brett Redfearn, Ajay Sutaria, Andrea Orr, David Metzman, David Shillman, John Roeser, Theodore Venuti, David Saltiel, Michael Bradley (via telephone), Sarah Albertson, Steve Kuan, Andrew Sherman and Emerald Greywoode, from the Division of Trading and Markets, met with the following individuals.

Jeff Brown
Scott Eckel
Peter Roberson

The discussion concerned, among other things, the proposed rulemaking relating to disclosure of order handling information [Release No. 34-78309].