

MEMORANDUM

TO: File No. S7-14-16

FROM: Andrew Sherman
Attorney-Adviser
Office of Market Supervision, Division of Trading and Markets
U.S. Securities and Exchange Commission

DATE: May 10, 2018

SUBJECT: Meeting with Representatives of Royal Bank of Canada and Buy-Side
Representatives

On May 10, 2018, Brett Redfearn, Ajay Sutaria, Andrea Orr, David Shillman, Theodore Venuti, Richard Holley, Tyler Raimo (via telephone), Johnna Dumler, Sarah Albertson, Amir Katz (via telephone), Benjamin Bernstein, Erika Berg, Andrew Sherman and Emerald Greywoode, from the Division of Trading and Markets, and Amy Edwards, Abby Kim, Hans Heidle, Jennifer Juergens, Paul Hughes and John Ritter, from the Division of Economic and Risk Analysis, met with the following individuals.

Craig Hurl, Ontario Teachers' Pension Plan
Dave Cushing, Wellington Management Company
Jeff Estella, MFS
Joe Scafidi, Brandes Partners
Mett Kinak, T. Rowe Price
Dan Royal, Janus-Henderson Investors
Doug Schrank, Southeastern Asset Management
Dan Farrell, Putnam Investments
Matt Waldner, Columbia Threadneedle Investments
Brenda Farley, MFS
Bobby Grubert, RBC Capital Markets
Rich Steiner, RBC Capital Markets
Shawn Maher, Royal Bank of Canada
Danielle Barrett, Royal Bank of Canada

The discussion concerned, among other things, the proposed rulemaking relating to disclosure of order handling information [Release No. 34-78309].