

MEMORANDUM

TO: File No. S7-14-16

FROM: Theodore Venuti
Division of Trading and Markets

DATE: May 1, 2018

RE: Meeting with Representatives of Morgan Stanley

On May 1, 2018, Commission staff met with representatives of Morgan Stanley. During that meeting, the proposed rulemaking relating to disclosure of order handling information (34-78309) was one of the topics discussed.

The Commission staff representatives were Brett Redfearn, John Roeser, David Metzman, David Saltiel, Daniel Gray, Theodore Venuti, Richard Holley, Yue Ding, Arisa Kettig, Andrea Orr, and Alex Jadin from the Division of Trading and Markets, as well as Sean Memon and Alan Cohen from the Office of the Chairman. The Morgan Stanley representatives were Sapna Patel, Bill Neuberger, Andy Silverman, and Joyce Tavoulaareas.