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MEMORANDUM

TO: File No. S7-14-16

FROM: Neel Maitra
Attorney-Adviser
Office of Market Supervision, Division of Trading and Markets
U.S. Securities and Exchange Commission

DATE: May 17, 2017

SUBJECT: Meeting with Representatives from the Healthy Markets Association, et al.

On May 16, 2017, Heather Seidel, David Shillman, John Roeser, Michael E. Coe, Daniel M. Gray, David Dimitriou, Paula Jenson, Patrick Joyce, Theodore Samuel Venuti, Michael Gaw, Tyler Raimo, Richard Holley, Arisa Kettig, Megan Mitchell, and Neel Maitra, from the Division of Trading and Markets, met with the following individuals.

Christopher Nagy, Director, Healthy Markets Association;
David Bentley, Assistant Vice President, Equity Trade Strategist, Oppenheimer Funds;
David Brooks, Managing Director, Head of Global Equity Trading, Boston Company Asset Management;
Joe Wald, Chief Executive Officer, Clearpool;
John Halloran, Director, Trading Services, IHS Markit;
John Ramsay, Chief Market Policy Officer, IEX Group, Inc.;
John Schwall, Chief Operating Officer, IEX Services LLC;
Ray Ross, Chief Technology Officer, Clearpool;
Stuart George, Senior Vice President, Head of Equity Trading, Macquarie Investment Management;
Susan Sidd, General Counsel, Quantitative Investment Management;
Thomas McDonough, Jefferies & Co.;
Tyler Gellasch, Executive Director, Healthy Markets Association; and
Venu Palaparthi, Senior Vice President for Compliance, Regulatory and Government Affairs, Virtu Financial, Inc.

The discussion concerned, among other things, the proposed rulemaking relating to disclosure of order handling information [Release No. 34-78309].