

Subject: AGAINST SEC Proposed Exemptive Order on Registration of Finders

From: Hilary Bergman

Affiliation:

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Dear SEC,

As the controlling shareholder of a small Broker Dealer the purpose of this email is to voice my vehement opposition to the "SEC Proposed Exemptive Order on Registration of Finders".

The implementation of the Rule would be in direct conflict with the most recent Regulation implemented by the SEC, Regulation BI.

It costs my broker dealer over \$400,000 per year to stay in compliance with all of the regulations, rules and laws that cover the securities industry.

Why would you give anybody a free pass to participate in this industry when some many firms are adhering to the rules and paying dearly for it?

We won't be the biggest victim however. Retail investors, sophisticated and unsophisticated will be the victims.

The SEC will have no recourse. And the victims will not have recourse.

It makes no sense.

Please do not it implement this Rule!

Regards,

Hilary

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