## Subject: File No. S7-13-20 From: Joe Delaney **Affiliation:**

Nov. 10, 2020

CAUTION: This email originated from outside of the organization. Do not click links or open attachments unless you recognize the sender and know the content is safe.

J.V. Delaney & Associates
A Registered Investment Advisor
An Affiliate of J.V. Delaney & Associates a Member of FINRA
A Registered Broker-Dealer with the Securities & Exchange Commission
A Member of the Securities Investor Protection Corporation

• Newport Beach • California • 92660

November 11, 2020 Ms. Vanessa Countryman Secretary

U.S. Securities and Exchange Commission

100 F Street NE Washington, DC 20549
Re: Notice of Proposed Exemptive Order Granting Conditional Exemption from the Broker Registration Requirements of Section 15(a) of the Securities Exchange Act of 1934 for Certain Activities of Finders Dear Ms. Countryman: I agree 200% with the comments made by McLaughlin Ryder Investments, Independent Investment Bankers, TerraNova Capital and Commissioner Allison Herren Lee.

This proposal is bad for investors, the broker-dealer and investment advisory communities.

Please drop this proposal.

Yours, Joe Delaney

(Best time to call is after the stock market close or 1:15 PM PST)

This e-mail message is a confidential communication from the investment advisory firm of J.V. Delaney & Associates and is intended only for the named recipient(s) above and may contain information that is a trade secret, proprietary, privileged or attorney work product. If you have received this message in error, or are not the named or intended recipient(s), please immediately notify the sender at and delete this e-mail message and any attachments from your workstation or network mail system.

Sent from Mail for Windows 10