

MEMORANDUM

To: File Nos. S7-13-19, S7-15-19, SRCboeEDGA-2019-012
From: Kimberly Hamm, Office of Chairman Jay Clayton
Date: October 28, 2019
Re: Meeting with representatives of the Managed Funds Association

On October 28, 2019, Sebastian Gomez Abero, Kim Hamm and Kay Smith of the Office of the Chairman met with the following individuals:

- Jennifer W. Han, Associate General Counsel, Managed Funds Association
- Laura Harper Powell, Associate General Counsel, Managed Funds Association
- Steven Felsenthal, General Counsel and Chief Compliance Officer, Millburn Ridgefield Corporation
- Matthew Lillvis, Deputy General Counsel, Millennium Management LLC
- Jonah Platt, Director of Government & Regulatory Policy, Citadel LLC
- Isaac Haas, HBK Capital Management
- Richard Grant, Global Head of Regulatory and Government Affairs Associate General Counsel, AQR Capital Management, LLC

Among other things, the meeting participants discussed the Commission's Proposed Amendments to the National Market System Plan Governing the Consolidated Audit Trail, the Rescission of Effective-Upon-Filing Procedure for NMS Plan Fee Amendments proposal, and CboeEDGA's proposed rule change to introduce a delay mechanism on EDGA. (Kay Smith was not present for the discussions relating to these specified topics.)