

## MEMORANDUM

**To:** File No. S7-13-19  
File No. S7-15-19

**From:** Frank A. Buda  
Counsel to Commissioner Allison Herren Lee  
U.S. Securities and Exchange Commission

**Date:** October 3, 2019

**Re:** Meeting with Nasdaq

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On October 3, 2019, Commissioner Lee and her counsels, Eric Juzenas and Frank Buda, met with John Zecca, Phil Mackintosh, and Jeffrey Davis of Nasdaq. Among the topics discussed were: 1) the Commission's Proposed Amendments to the National Market System Plan Governing the Consolidated Audit Trail; and 2) the Commission's Proposed Rescission of Effective-Upon-Filing Procedure for NMS Plan Fee Amendments.