

## MEMORANDUM

**TO:** File Nos. S7-17-22; S7-26-22; S7-12-23; S7-04-23

**FROM:** Janet Jun, Senior Counsel, Division of Investment Management

**RE:** Meeting with BlackRock

**DATE:** February 8, 2024

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On February 8, 2024, staff of the SEC's Division of Investment Management ("IM") held an in-person meeting with representatives from BlackRock, Inc. ("BlackRock"). Participants included: William Birdthistle (Director, IM), Janet Jun (Senior Counsel, IM), Matthew Williams (Senior Counsel, IM), as well as the following representatives from BlackRock:

- Aaron Wasserman, Managing Director, Chief Compliance Officer (Registered Funds)
- Janey Ahn, Managing Director, Head of the U.S. Registered Funds Legal Team
- Michael Huang, Managing Director, Risk and Quantitative Analysis Group
- Benjamin Archibald, Managing Director, Global Head of Legal (ETFs and Index Investments, Retail Investment Funds, Financial Markets Advisory, Blackrock Solutions, Wealth and Retirement, Canada and Latin America Regions)
- Megan Vasios, Government Affairs and Public Policy Group
- Ben Tecmire, Government Affairs and Public Policy Group

The participants discussed, among other things, the SEC's proposed rules:

"Enhanced Disclosures by Certain Investment Advisers and Investment Companies about Environmental, Social, and Governance Investment Practices," (Release Nos. IA-6034; IC-34594); "Open-End Fund Liquidity Risk Management Programs and Swing Pricing; Form N-PORT Reporting" (Release Nos. 33-11130; IC-34746); "Conflicts of Interest Associated with the Use of Predictive Data Analytics by Broker-Dealers and Investment Advisers" (Release Nos. 34-97990; IA-6353); and "Safeguarding Advisory Client Assets" (Release No. IA-6240).