

To: File Nos.: S7-29-22; S7-30-22; S7-31-22; S7-32-22; S7-12-23
From: Office of Public Engagement
Date: 2/5/24
Re: Meeting with Fidelity

On February 5, 2024, Chair Gensler and SEC staff met with Fidelity to discuss the following proposals:

- Disclosure of Order Execution Information
- Regulation NMS: Minimum Pricing Increments, Access Fees, and Transparency of Better Priced Orders
- Order Competition Rule
- Regulation Best Execution
- Conflicts of Interest Associated with the Use of Predictive Data Analytics by Broker-Dealers and Investment Advisers

Members of the SEC included:

- Chair Gary Gensler
- Heather Slavkin Corzo, Director of Policy
- Jessica Wachter, Chief Economist and Director of the Division of Economic Risk and Analysis
- Haoxiang Zhu, Director of the Division of Trading and Markets

Representatives from Fidelity included:

- Abby Johnson, President & CEO
- Katie Fallon, Head of Corporate Affairs
- Roberto Braceras, General Counsel
- Derrick Chan, Head of Equities
- Kevin Meagher, Deputy General Counsel
- Jim Febeo, Head of Public Policy & Government Relations
- Kristy Croushore, Vice President, Government Relations