

MEMORANDUM

TO: File Nos. S7-26-22; S7-12-23

FROM: Marc Sharma, Assistant Director and Chief Counsel
Office of the Investor Advocate

DATE: August 7, 2023

RE: Virtual Meeting with Representatives of the Consumer Federation of America

On August 2, 2023, Cristina Martin Firvida, Investor Advocate, and staff from the Office of the Investor Advocate met virtually with representatives of the Consumer Federation of America.

The following representatives from the Office of the Investor Advocate participated in the meeting:

- Cristina Martin Firvida, Investor Advocate;
- Marc Sharma, Assistant Director and Chief Counsel;
- Charles Kwon, Senior Counsel;
- Adam Anicich, Investor Engagement Manager; and
- Carla Anglin, Investor Engagement Officer.

The following representatives from the Consumer Federation of America participated in the meeting:

- Micah Hauptman, Director of Investor Protection; and
- Dylan Bruce, Financial Services Counsel.

The participants discussed, among other topics, the Commission's rule proposal entitled *Open-End Fund Liquidity Risk Management Programs and Swing Pricing; Form N-PORT Reporting* [Release Nos. 33-11130; IC-34746]; and the Commission's rule proposal entitled *Conflicts of Interest Associated with the Use of Predictive Data Analytics by Broker-Dealers and Investment Advisers* [Release Nos. 34-97990; IA 6353].