

MEMORANDUM

TO: File no. S7-12-22

FROM: Sai Rao
Policy Counsel, Office of the Chair

RE: Meeting with MFA

DATE: November 6, 2023

On November 6, 2023, Sai Rao and Jay Krawitz, Policy Counsels, Office of the Chair, met with the following representatives from the Managed Funds Association and their members:

- Jennifer Han, Chief Counsel and Head of Global Regulatory Affairs
- Matthew Daigler, Vice President and Senior Counsel
- Jonah Platt, Citadel
- Michael Kitson, Bridgewater Associates
- Richard Walz, Element Capital

The participants discussed the Commission's "Further Definition of "As a Part of a Regular Business" in the Definition of Dealer and Government Securities Dealer" proposal.